



Annexure B

Complaint Data - Stock Broker
SEBI Reg. No: Stockbroker - INZ000186539
NSE Member Code-08051| BSE Member Code-3162| MCX Member Code-12325
NCDEX Member Code-01075

Data for the month ending – September 2026

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NA	NIL		NA
2	SEBI (SCORES)	Nil	Nil	NIL	Nil	NIL		NA
3	Stock Exchanges	NIL	NIL	NIL	NA	NIL		NA
4	Other Sources (if any)	NIL	NIL	NIL	NA	NIL		NA
5	Grand Total	Nil	Nil	NIL	Nil	NIL		NA

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April-2026	NIL	NIL	NIL	NIL
2	May 2026	NIL	NIL	NIL	NIL
3	June2026	NIL	1	1	NIL
4	July2026	NIL	NIL	NIL	NIL
5	August 2026	NIL	NIL	NIL	NIL
6	September 2026	NIL	NIL	NIL	NIL
	Grand Total	NIL	1	1	NIL

**Inclusive of complaints of previous months resolved in the current month.*

***Inclusive of total complaints pending as on the last day of the month.*

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of Annual Disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	NIL	NA	NIL
3	2019-20	NIL	1	1	NIL
4	2020-21	NIL	8	8	NIL
5	2021-22	NIL	3	3	NIL
6	2022-23	NIL	1	1	NIL
7	2023-24	NIL	6	6	NIL
8	2024-25	NIL	4	4	NIL
9	2025-26	NIL	7	7	NIL
10	2026-27	NIL	1	1	NIL
	Grand Total	NIL	32	32	NIL

#Note: We received one complaint on SCORES Portal under Investment Advisor license however it pertained to Stock Broking license and is disposed off.

**Inclusive of complaints of previous months resolved in the current month*

***Inclusive of complaints pending as on the last day of the month.*

^^ Count of complaints as on 30/09/2026.